

Indian Agricultural Research Institute New Delhi

Proactive Disclosure under RTI Act, Section-4 - A FRAMEWORK FOR TRANSPARENCY AUDIT

The RTI Act under section 4 provides a comprehensive framework for promoting openness in the functioning of the public authorities.

While Section 4(1) (a) provides a general guideline for record management, so that the information could be easily stored and retained, the sub-sections b, c and d of Section 4 relate to the organizational objects and functions. Sub-sections (b), (c) and (d) of Section 4 of the RTI Act and other related information can be grouped under six categories; namely, 1-organsiation and function, 2- Budget and programmes, 3-Publicity and public interface, 4-E. governance, 5-Information as prescribed and 6. Information disclosed on own initiative.

1. Organisation and Function

S.N o.	Item	Details of disclosure	Remarks/ Reference
1.1	Particulars of its organisati on, functions	(i) Name and address of the Organization	Indian Agricultural Research Institute, PUSA, New Delhi-110012, https://www.iari.res.in/ http://krishisewa.iari.res.in/newkrishisewa/index.php?option=com content&view=fe atured&Itemid=435
	and duties [Section	(ii) Head of the organization	Director, Dr. Ch Srinivasa Rao
	4(1)(b)(i)]	(iii) Vision, Mission and Key objectives	https://www.iari.res.in/files/rti-pdf/particular-of.pdf
		(iv) Function and duties	https://www.iari.res.in/files/rti-pdf/particular-of.pdf

		(v) Organization Chart (vi) Any other detailsthe genesis, inception, formation of the department and the HoDs from time to time as well as the committees/Commissions constituted from time to time have been dealt	https://www.iari.res.in/index.php?option=com content&view=article&id=471&Itemi d=1198 https://www.iari.res.in/index.php?option=com content&view=article&id=471&Itemi d=1198 https://www.iari.res.in/index.php?option=com content&view=article&id=162&Itemi d=1198
1.2	Power and duties of its officers and	(i) Powers and duties of officers (administrative, financial and judicial)	https://www.iari.res.in/files/rti-pdf/particular-of.pdf https://www.iari.res.in/index.php?option=com_content&view=article&id=471&Itemid=1198
	employees [Section 4(1) (b)(ii)]	(ii) Power and duties of other employees	https://www.iari.res.in/files/rti-pdf/particular-of.pdf https://www.iari.res.in/index.php?option=com_content&view=article&id=471&Itemid=1198
	. ()()1	(iii) Rules/ orders under which powers and duty are derived and	https://www.iari.res.in/files/rti-pdf/particular-of.pdf https://www.iari.res.in/index.php?option=com_content&view=article&id=471&Itemi_d=1198
		(iv) Exercised	

		(v) Work allocation	https://www.iari.res.in/index.php?option=com_content&view=article&id=483&Itemid=1198
1.3	Procedure followed in decision	(i) Process of decision making Identify key decision making points	https://www.iari.res.in/index.php?option=com content&view=article&id=483&Itemi d=1198
	making process	(ii) Final decision making authority	https://www.iari.res.in/index.php?option=com_content&view=article&id=483&Itemi d=1198
	[Section 4(1)(b)(iii)	(iii) Related provisions, acts, rules etc.	https://www.iari.res.in/index.php?option=com_content&view=article&id=483&Itemi d=1198
]	(iv) Time limit for taking a decisions, if any	https://www.iari.res.in/index.php?option=com_content&view=article&id=483&Itemi_d=1198
		(v) Channel of supervision and accountability	https://www.iari.res.in/index.php?option=com content&view=article&id=483&Itemi d=1198
1.4	Norms for discharge	(i) Nature of functions/ services offered	https://www.iari.res.in/files/rti-pdf/particular-of.pdf
	of functions		https://www.iari.res.in/index.php?option=com_content&view=article&id=471&Itemi d=1198
	[Section 4(1)(b)(iv)]	(ii) Norms/ standards for functions/ service delivery	https://www.iari.res.in/index.php?option=com content&view=article&id=606&Itemi d=1198
		(iii) Process by which these services can be accessed	do
		(iv) Time-limit for achieving the targets	do
		(v) Process of redress of grievances	do
1.5	Rules, regulation s,	(i) Title and nature of the record/ manual /instruction.	https://www.iari.res.in/files/rti-pdf/fee-rule.pdf

	instruction s manual and records for dischargin g functions [Section 4(1)(b)(v)]	 (ii) List of Rules, regulations, instructions manuals and records. (iii) Acts/ Rules manuals etc. (iv) Transfer policy and transfer orders 	https://www.iari.res.in/files/rti-pdf/fee-rule.pdf Hindi-https://www.iari.res.in/files/rti-pdf/rti-act-hindi.pdf, English-https://www.iari.res.in/files/rti-pdf/rti-act-english.pdf https://www.iari.res.in/index.php?option=com_content&view=article&id=484&Itemi d=1198
1.6	Categories of documents held by the authority under its control [Section 4(1)(b) (vi)]	(i) Categories of documents (ii) Custodian of documents/categories	https://www.iari.res.in/index.php?option=com content&view=article&id=485&Itemi d=1198 https://www.iari.res.in/index.php?option=com content&view=article&id=485&Itemi d=1198
1.7	Boards, Councils, Committee s and other Bodies constitute d as part of the Public Authority	(i) Name of Boards, Council, Committee etc. (ii) Composition (iii) Dates from which constituted (iv) Term/ Tenure (v) Powers and functions	https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi d=1198 https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi d=1198 https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi d=1198 https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi d=1198 https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi d=1198 https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi

	[Section		<u>d=1198</u>
	4(1)(b)(vii - i)]	(vi) Whether their meetings are open to the public?	https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi_d=1198
		(vii) Whether the minutes of the meetings are open to the public?	https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi d=1198
		(viii) Place where the minutes if open to the public are available?	https://www.iari.res.in/index.php?option=com_content&view=article&id=487&Itemi_d=1198
1.8	Directory	(i) Name and designation	https://www.iari.res.in/files/telephone-directory-may-2012.pdf
	of officers and employees [Section 4(1) (b) (ix)]	(ii) Telephone , fax and email ID	https://www.iari.res.in/files/telephone-directory-may-2012.pdf
1.9	Monthly Remunerat ion	(i) List of employees with Gross monthly remuneration	https://www.iari.res.in/index.php?option=com_content&view=article&id=497&Itemi d=1198
	received by officers & employees including system of compensat ion [Section 4(1) (b) (x)]	(ii) System of compensation as provided in its regulations	https://www.iari.res.in/index.php?option=com_content&view=article&id=497&Itemi d=1198

1.1	Name, designatio n and other particulars of public informatio	(i) Name and designation of the public information officer (PIO), Assistant Public Information (s) & Appellate Authority	https://www.iari.res.in/files/CPIOlist 04092020.pdf https://www.iari.res.in/files/rti-pdf/rti-act-english.pdf
	n officers [Section 4(1) (b) (xvi)]	(ii) Address, telephone numbers and email ID of each designated official.	https://www.iari.res.in/files/telephone-directory-may-2012.pdf
1.1	No. Of employees against whom Disciplinar y action has been proposed/	No. of employees against whom disciplinary action has been (i) Pending for Minor penalty or major penalty proceedings	Under preparation
	taken (Section 4(2))	(ii) Finalised for Minor penalty or major penalty proceedings	
1.1	Programm es to advance	(i) Educational programmes	M.Sc., M.Tech. and Ph.D programme in 26 Disciplines by ICAR held in IARI - https://www.iari.res.in/index.php?option=com_content&view=article&id=1239&Item_id=1000

	understan ding of RTI (Section 26)	(ii) Efforts to encourage public authority to participate in these programmes	http://krishisewa.iari.res.in/newkrishisewa/index.php?option=com content&view=ar ticle&id=537&Itemid=986 https://www.iari.res.in/index.php?option=com content&view=article&id=165&Itemi d=1198
		(iii) Training of CPIO/APIO	https://www.iari.res.in/files/rti-pdf/listofCPIOAppelatte 13082018.pdf https://www.iari.res.in/files/CPIOlist 04092020.pdf
		(iv) Update & publish guidelines on RTI by the Public Authorities concerned	https://www.iari.res.in/index.php?option=com_content&view=article&id=165&Itemi_d=1198
1.1	Transfer policy and transfer orders [F No. 1/6/2011-IR dt. 15.4.2013]		https://www.iitbbs.ac.in/documents/Suo moto disclosure-15042013.pdf

2. Budget and Programme

S.No.	Item	Details of disclosure	Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met/
2.1	Budget allocated to each agency including all plans,	(i) Total Budget for the public authority (ii) Budget for each agency and plan & programmes	https://www.iari.res.in/index.php?option=com_content&view=article&id=607&Itemid=1198 https://www.iari.res.in/index.php?option=com_content&view=article&id=607&Itemid=1198
	proposed expenditure and reports on disbursements made etc. [Section 4(1)(b)(xi)]	(iii) Proposed expenditures (iv) Revised budget for each agency, if any (v) Report on disbursements made and place where the related reports are available	https://www.iari.res.in/index.php?option=com content&view=article&id=607&Itemid=1198do
2.2	Foreign and domestic tours (F.No. 1/8/2012- IR dt. 11.9.2012)	(i) Budget (ii) Foreign and domestic Tours by ministries and officials of the rank of Joint Secretary to the Government and above, as well as the heads of the Department. a) Places visited	http://documents.doptcirculars.nic.in/D2/D02rti/1 8 2012-IR-03042013.pdf http://documents.doptcirculars.nic.in/D2/D02rti/1 8 2012-IR-03042013.pdf

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b) The period of
visit
c) The number of
members in
the official
delegation
d) Expenditure
on the visit
(iii) Information related All information available on www.iari.res.in
to procurements
a) Notice/tender http://krishisewa.iari.res.in/newkrishisewa/
enquires, and
corrigenda if any
thereon,
b) Details of the
bids awarded
comprising the
names of the
suppliers of suppliers
goods/ services
being procured,
c) The works
contracts
concluded – in
any such
combination of
the above-and
d) The rate /rates
and the total
amount at which
such

		was grant and and
		procurement or
		works contract is
		to be executed.
2.3	Manner of	(i) Name of the Institute does not execute any subsidy programme.
	execution of	programme of
	subsidy	activity
	programme	(ii) Objective of the Institute does not execute any subsidy programme.
	[Section	programme
	4(i)(b)(xii)]	(iii) Procedure to avail Institute does not execute any subsidy programme.
		benefits
		(iv) Duration of the Institute does not execute any subsidy programme.
		programme/
		scheme
		(v) Physical and financial Institute does not execute any subsidy programme.
		targets of the
		programme
		(vi) Nature/ scale of Institute does not execute any subsidy programme.
		subsidy /amount
		allotted
		(vii) Eligibility criteria Institute does not execute any subsidy programme.
		for grant of
		subsidy
		(viii) Details of https://www.iari.res.in/index.php?option=com_content&view=article&id=496&Itemid=1198
		beneficiaries of
		subsidy
		programme
		(number, profile
		etc)
2.4	Discretionary	(i) Discretionary and https://cswri.res.in/RTI/RTIupload/Budget4.pdf
	and non-	non-discretionary
	discretionary	grants/

	F== 3.7		
	grants [F. No.	allocations to	
	1/6/2011-IR	State Govt./	
	dt.	NGOs/other	
	15.04.2013]	institutions	
		(ii) Annual accounts of all	https://cic.gov.in/sites/default/files/Transparency%20Audit%20of%20Disclosures%20Under%20Section%204%20of%20the
		legal entities who	%20RTI%20ACt%20by%20the%20Public%20authorities.pdf
		are provided	
		grants by public	
		authorities	
2.5	Particulars of	(i) Concessions, permits	https://www.iari.res.in/index.php?option=com_content&view=article&id=493&Itemid=1198
	recipients of	or authorizations	
	concessions,	granted by public	
	permits of	authority	
	authorizations	(ii) For each concessions,	Under preparation
	granted by the		onuel preparation
	public	permit or authorization	
	authority		
		granted	
	[Section 4(1)	a) Eligibility	
	(b) (xiii)]	criteria	
		b) Procedure for	
		getting the	
		concession/ grant	
		and/ or	
		permits of	
		authorizations	
		c) Name and	
		address of the	
		recipients given	
		concessions/	
		permits or	
		authorisations	

		d) Date of award of concessions /permits of authorizations	
2.6	paras [F No. 1/6/2011- IR	CAG and PAC paras and the action taken reports (ATRs) after these have been laid on the table of both houses of	
		the parliament.	

3. Publicity Band Public interface

S.No.	Item	Details of disclosure	Remarks/ Reference Points
			(Fully met/partially met/ not met- Not applicable will be
			treated as fully met/partially met) https://www.iari.res.in/index.php?option=com content&view=article&id=486&Itemid=1198
3.1	Particulars for	Arrangement for consultations with or	https://www.iari.res.in/index.pnp?option=com/content&view=article&id=486&itemid=1198
	any arrangement	representation by the members of the public	
	for consultation	(i) Relevant Acts, Rules, Forms and other	
	with or	documents which are normally	
	representation by	accessed by citizens	
	the members of	(ii) Arrangements for consultation with or	https://www.iari.res.in/index.php?option=com content&view=article&id=486&Itemid=1198
	the public in	representation by	
	relation to the	a) Members of the public in policy	
	formulation of	formulation/ policy	
	policy or	implementation	
	implementation	b) Day & time allotted for visitors	
	there of	c) Contact details of Information &	
		Facilitation Counter (IFC) to	
	[Section	provide publications frequently	
	4(1)(b)(vii)]	sought by RTI applicants	
		Public- private partnerships (PPP)	https://www.iari.res.in/index.php?option=com_content&view=article&id=486&Itemid=1198
	[F No 1/6/2011-	(i) Details of Special Purpose Vehicle (SPV),	
	IR dt. 15.04.2013]	if any	
	,	(ii) Detailed project reports (DPRs)	https://www.iari.res.in/index.php?option=com_content&view=article&id=486&Itemid=1198
		(iii) Concession agreements.	https://www.iari.res.in/index.php?option=com_content&view=article&id=486&Itemid=1198
		(iv) Operation and maintenance manuals	https://www.iari.res.in/index.php?option=com_content&view=article&id=486&Itemid=1198
		(v) Other documents generated as part of the	https://www.iari.res.in/index.php?option=com_content&view=article&id=486&Itemid=1198
		implementation of the PPP	
		(vi) Information relating to fees, tolls, or	https://www.iari.res.in/index.php?option=com_content&view=article&id=486&Itemid=1198
		the other kinds of revenues that may	
		be collected under authorisation from	

		the government	
		(vii) Information relating to outputs and outcomes	https://www.iari.res.in/index.php?option=com content&view=article&id=486&Itemid=1198
		(viii) The process of the selection of the private sector party (concessionaire	https://www.iari.res.in/index.php?option=com content&view=article&id=486&Itemid=1198
		etc.) (ix) All payment made under the PPP	https://www.iari.res.in/index.php?option=com content&view=article&id=486&Itemid=1198
		project	
3.2	Are the details of		All information given in <u>www.iari.res.in</u>
	policies / decisions, which affect public,	important policies or announcing decisions which affect public to make the process more interactive;	http://krishisewa.iari.res.in/newkrishisewa/
	informed to them	(i) Policy decisions/ legislations taken in the	
	[Section 4(1) (c)]	previous one year	
		(ii) Outline the Public consultation process	www.iari.res.in
			http://krishisewa.iari.res.in/newkrishisewa/
		(iii) Outline the arrangement for	www.iari.res.in
		consultation before formulation of policy	http://krishisewa.iari.res.in/newkrishisewa/
3.3	Dissemination of	Use of the most effective means of	www.iari.res.in
	information widely and in	communication (i) Internet (website)	http://krishisewa.iari.res.in/newkrishisewa/
	such form and		
	manner which is		
	easily accessible to the public		
	[Section 4(3)]		
3.4	Form of accessibility of	Information manual/handbook available in (i) Electronic format	https://www.iari.res.in/files/rti-pdf/icarars.pdf

	information	(ii) Printed format	https://www.iari.res.in/files/rti-pdf/icarars.pdf
	manual/		
	handbook		
	[Section 4(1)(b)]		
3.5	Whether	List of materials available	https://www.iari.res.in/index.php?option=com content&view=article&id=484&Itemid=1198
	information	(i) Free of cost	101 / 101 / 101
	manual/		https://www.iari.res.in/files/rti-pdf/icarars.pdf
	handbook	(ii) At a reasonable cost of the medium	https://www.iari.res.in/files/rti-pdf/icarars.pdf
	available free of		
	cost or not		
	[Section 4(1)(b)]		

4. E.Governance

S .No.	Item	Details of disclosure	Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
4.1	Language in which Information	(i) English	English
	Manual/Handbook Available [F No. 1/6/2011- IR dt. 15.4.2013]	(ii) Vernacular/ Local Language	NA
4.2	When was the information Manual/Handbook last updated? [F No. 1/6/2011-IR dt 15.4.2013]	Last date of Annual updation	https://www.iari.res.in/files/rti-pdf/rti-act-english.pdf
4.3	Information available in electronic form [Section 4(1)(b)(xiv)]	(i) Details of information available in electronic form (ii) Name/ title of the document/record/ other information (iii) Location where available	https://www.iari.res.in/files/rti-pdf/rti-act-english.pdf https://www.iari.res.in/index.php?option=com_content&view=article&id=165&Itemid=1198
4.4	Particulars of facilities available to citizen for obtaining information [Section	 (i) Name & location of the faculty (ii) Details of information made available (iii) Working hours of the facility (iv) Contact person & contact details (Phone, fax email) 	https://icar.org.in/sites/default/files/4.4 Annex VII Facility.pdf

	4(1)(b)(xv)]	
4.5	Such other	(i) Grievance redressal
4.5	information as	mechanism
	may be prescribed	(ii) Details of applications https://www.iari.res.in/index.php?option=com_content&view=article&id=165&Itemid=1198
	under section 4(i)	received under RTI and
	(b)(xvii)	information provided
	(b)(XVII)	(iii) List of completed
		schemes/ projects/
		Programmes
		(iv) List of schemes/ projects/ https://krishi.icar.gov.in/
		programme underway
		http://krishisewa.iari.res.in/newkrishisewa/
		(v) Details of all contracts entered https://www.iari.res.in/index.php?option=com_content&view=article&id=1476&Itemid=1065
		into including name of the
		contractor, amount of
		contract and period of
		completion of contract
		(vi) Annual Report https://www.iari.res.in/index.php?option=com_content&view=article&id=108&Itemid=1085
		(vii) Frequently Asked Question
		(FAQs)
		(viii) Any other information https://www.iari.res.in/files/rti-pdf/CitizenCharterIARI 01092020.pdf
		such as
		a) Citizen's Charter
		b) Result Framework https://www.iari.res.in/files/rti-pdf/particular-of.pdf
		Document (RFD)
		c) Six monthly reports on
		the
		d) Performance against
		the benchmarks set in the

		Citizen's	
		Charter	
4.6	Receipt & Disposal	(i) Details of applications	https://www.iari.res.in/files/rti-pdf/particular-of.pdf
	of RTI applications	received and disposed	
	& appeals [F.No	(ii) Details of appeals received	https://www.iari.res.in/files/rti-pdf/particular-of.pdf
	1/6/2011-IR dt.	and orders issued	
	15.04.2013]		
4.7	Replies to	Details of questions asked and replies	Details of questions asked and replies given
	questions asked in	given	
	the parliament		
	[Section		
	4(1)(d)(2)]		

5. Information as may be prescribed

S.	Item	Details of	Remarks/ Reference Points		
No		disclosure	(Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)		
5.	Such	(i) Name &	https://dopt.gov.in/sites/default/files/IR-Division-01122017.pdf		
1	other	details of			
	informati	(a) Curren			
	on as may	t CPIOs			
	be	& FAAs			
	prescribe	(b) Earlier			
	d [F.No.	CPIO &			
	1/2/2016	FAAs			
	-IR dt.	from			
	17.8.2016	1.1.201			
	, F No.	5			
	1/6/2011	(ii) Details of	https://commerce.gov.in/writereaddata/UploadedFile/NTESCL 637110715892818515 Audit re		
	-IR dt.	third party	port by IIFT.pdf		
	15.4.2013	audit of			
]	voluntary			
		disclosure			
		(a) Dates			
		of audit			
		carried			
		out			
		(b) Report			
		of the			
		audit			

1		
	carried	
	out	
(iii)	Appointme	
(111)	nt of Nodal	
	Officers	
	not below	
	the rank of	
	Joint	
	Secretary/	
	Additional	
	HoD	
	(a) Date of	
	appoint	
	ment	
	(b) Name	
	&	
	Design	
	ation of	
	the	
	officers	
(iv)	Consultanc	
(11)		
	y committee	
	of key	
	stake	
	holders for	
	advice on	
	suo-motu	

disclosure	
(a) Dates from which constit uted (b) Name & Design ation of	
the	
officers	
(v) Committee of PIOs/FAAs	Fully met. Visit the website: www.iari.res.in
with rich	http://krishisewa.iari.res.in/newkrishisewa/
experience	
in RTI to	
identify	
frequently	
sought	
informatio	
n under	
RTI	
(a) Dates	
from	
which	
constit	
uted	
(b) Name	

&	
Design	
ation of	
the	
Officers	

6. Information Disclosed on own Initiative

S.No.	Item	Details of disclosure	Remarks/ Reference Points (Fully met/partially met/ not met- Not applicable will be treated as fully met/partially met)
6.1	Item / information disclosed so that public have minimum resort to use of RTI Act to obtain information		To the extent possible the information is provided on the website.
6.2	Guidelines for Indian Government Websites (GIGW) is followed (released in February, 2009 and included in the Central Secretariat Manual of Office Procedures (CSMOP) by Department of Administrative Reforms and Public Grievances, Ministry of Personnel, Public Grievance and Pensions, Govt. Of India)	(i) Whether STQC certification obtained and its validity. (ii) Does the website show the certificate on the Website?	The Guidelines of ICAR are being followed to maintain the website of IARI.
